

# Progressive multifocal leukoencephalopathy after fingolimod treatment

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## Abstract

### Objective

We describe the characteristics of the 15 patients with fingolimod-associated progressive multifocal leukoencephalopathy (PML) identified from the Novartis data safety base and provide risk estimates for the disorder.

### Methods

The Novartis safety database was searched for PML cases with a data lock point of August 31, 2017. PML classification was based on previously published criteria. The risk and incidence were estimated using the 15 patients with confirmed PML and the overall population of patients treated with fingolimod.

### Results

As of August 31, 2017, 15 fingolimod-treated patients had developed PML in the absence of natalizumab treatment in the preceding 6 months. Eleven (73%) were women and the mean age was 53 years (median: 53 years). Fourteen of the 15 patients were treated with fingolimod for >2 years. Two patients had confounding medical conditions. Two patients had natalizumab treatment. This included one patient whose last dose of natalizumab was 3 years and 9 months before the diagnosis of PML. The second patient was receiving fingolimod for 4 years and 6 months, which was discontinued to start natalizumab and was diagnosed with PML 3 months after starting natalizumab. Absolute lymphocyte counts were available for 14 of the 15 patients and none exhibited a sustained grade 4 lymphopenia ( $\leq 200$  cells/ $\mu$ L).

### Conclusions

The risk of PML with fingolimod in the absence of prior natalizumab treatment is low. The estimated risk was 0.069 per 1,000 patients (95% confidence interval: 0.039–0.114), and the estimated incidence rate was 3.12 per 100,000 patient-years (95% confidence interval: 1.75–5.15). Neither clinical manifestations nor radiographic features suggested any unique features of fingolimod-associated PML.

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## Glossary

CI = confidence interval; JCV = John Cunningham virus; MS = multiple sclerosis; PML = progressive multifocal leukoencephalopathy.

Progressive multifocal leukoencephalopathy (PML) is a rare opportunistic infection of the CNS caused by reactivation of a latent John Cunningham virus (JCV), with a prevalence of 0.2 cases per 100,000 persons in the general population.<sup>1</sup> In 2005, PML was confirmed in 3 patients participating in natalizumab clinical trials of multiple sclerosis (MS)<sup>2,3</sup> and Crohn disease,<sup>4</sup> disorders that were not previously associated with PML. As of August 2017, 749 confirmed cases of PML associated with natalizumab have been reported<sup>5</sup> and, further, in 5 dimethyl fumarate–treated patients with MS.<sup>6</sup> This has raised the possibility that there has been an increased risk of PML in patients with MS treated with immunosuppressive or immunomodulatory agents.

Fingolimod is a sphingosine 1-phosphate receptor modulator approved for the treatment of relapsing forms of MS. Fingolimod prevents the egress of T and B lymphocytes from lymph nodes and reduces the infiltration of autoaggressive cells into the CNS.<sup>7,8</sup>

Although peripheral blood lymphocyte counts declined by 73% from baseline values within 1 month of drug initiation,<sup>9,10</sup> consistent with the pharmacodynamic action of fingolimod, serious or opportunistic infections have only been infrequently observed in the postmarketing setting. To date, no correlation has been shown between absolute lymphocyte counts and the incidence of serious or opportunistic infections. Since 2015, PML cases not attributed to prior exposure to immunosuppressants have been reported in fingolimod-treated patients. The US prescribing information was subsequently updated to include opportunistic infections including PML.<sup>11</sup> Herein, we describe the characteristics of 15 PML cases reported in fingolimod-treated patients with MS.

## Methods

The Novartis safety database was searched for PML cases using the following search terms (MedDRA [*Medical Dictionary for Regulatory Activities*], version 19.1) with a data lock point of August 31, 2017: progressive multifocal leukoencephalopathy, leukoencephalopathy, leukoencephalomyelitis, and JC virus granule cell neuronopathy. The PML cases were classified as “definite,” “probable,” “possible,” or “not PML” based on the criteria presented by Berger et al. in 2014,<sup>12</sup> by an adjudication committee comprising experts in MS and PML. This classification is based on JCV DNA PCR status of CSF, MRI findings, and clinical presentation.

Overall patient exposure estimates were determined based on a combination of patient exposure to fingolimod in clinical

trials together with an estimate of postmarketing patient exposure (which is calculated based on worldwide sales volume in kilograms of active substance sold during the period and the defined daily dose of 0.5 mg).

The incidence of PML in patients treated with fingolimod was estimated using the 15 confirmed PML cases and an overall population of patients treated with fingolimod. Patient characteristics were compiled based on the pharmacovigilance reports from the treating physicians held in the Novartis safety database, and patient identifiers are not revealed.

## Data availability

These data are not from a clinical trial or specific study setup. It is rather based on postmarketing spontaneous reports made to Novartis in the context of routine pharmacovigilance. This reporting comes from various regions/countries with their respective data privacy laws. Data available in the Novartis safety database have been used to describe the carefully de-identified individual cases, and as such, no further data will be shared in either a repository or on request.

## Results

In total, 15 confirmed PML cases—12 “definite” and 3 “probable”—for which prior immunotherapy is not implicated, were identified in the real-world setting. Based on data from 15 confirmed PML cases associated with fingolimod treatment alone, the estimated risk is 0.069 per 1,000 patients (95% confidence interval [CI]: 0.039–0.114) and the estimated incidence rate is 3.12 per 100,000 patient-years (95% CI: 1.75–5.15). Details of the clinical features of the 15 PML cases are shown in the table.

## Demographics and baseline characteristics

The patients were geographically dispersed across Europe (n = 6), North America (n = 5), and Asia (n = 4). Of the 15 patients, 11 were women. The mean patient age was 53 years (median: 53 years) and 5 patients were younger than 50 years. The duration of MS in these patients ranged from 4 to 35 years (considering those with known year of MS onset). Of the 15 patients with PML, 2 presented with confounding medical conditions (one with previous cancer and one patient with ulcerative colitis with prior immunosuppressive therapy). In addition, there were 2 patients who had received therapy with natalizumab; however, the contributory role of fingolimod in the occurrence of PML could not be excluded in these cases. The first patient had previous natalizumab exposure for 10 months, which was discontinued for 7 months, and then the patient received fingolimod treatment for 38

**Table** Case details of patients with MS who developed PML after fingolimod treatment

Age range, y	Sex	MS duration (at time of PML diagnosis), y	Prior treatment for MS; duration of washout of prior treatment	Fingolimod exposure, mo	Compatible clinical features	Compatible MRI findings	CSF PCR for JCV	Clinical symptoms/ MRI findings/ CSF PCR for JCV	Certainty of PML diagnosis	ALC, cells/ $\mu$ L
45-50	M	5	Interferon $\beta$ -1a and corticosteroids; washout after steroids 7 mo	52	Asymptomatic	Positive	Positive (local lab and NIH)	-/+/+	Probable	240-890
50-55	M	14	Interferon $\beta$ -1b; washout period a few days	30	Walking instability, motor clumsiness, cognitive symptoms	Positive	Positive (local lab and NIH)	+/+/+	Definite	200-550
50-55	F	19	Glatiramer acetate, corticosteroids, interferon $\beta$ -1b; washout period unknown	35	Unusual memory problems, later significant confusion and slurred speech	Positive	Positive (local lab and NIH)	+/+/+	Definite	400-1,000
55-60	F	15	Interferon $\beta$ -1a; washout period unknown	54	Cognitive disorder, struggled to finish thoughts and misused words, fleeting visual hallucinations	Positive	Positive (local lab and NIH)	+/+/+	Definite	200-670
50-55	F	At least 8 y (exact duration unknown)	Natalizumab (duration 10 mo); washout period 7 mo	39	Weakness on right side of body, difficulty speaking, cognitive disorder, memory impairment, aphasia	Positive	Positive	+/+/+	Definite	300-500
60-65	F	4	Interferon $\beta$ -1b; washout period 9 mo	29	Cognitive decline, especially speech loss, forgetfulness	MRI findings were not typical; however, PML could not be ruled out	Positive (local lab and NIH)	+/-/+	Probable	411-580
30-35	F	20	Interferon $\beta$ -1b, interferon $\beta$ -1a, glatiramer acetate; washout period unknown	46	Right-sided hemiplegia, inappetence, loss of sensation, aphasia, visual and auditory hallucinations	Positive	Positive (local lab and NIH)	+/+/+	Definite	160-2,400
60-65	F	23	Interferon $\beta$ -1a; washout period unknown	45	Concentration deficits and muscular weakness	Positive	First sample positive, second sample (4 mo later) negative	+/+/+	Definite	300-700
50-55	F	14	Interferon $\beta$ -1a, 10 y before fingolimod	18	Sensory deficits, hemiparesis, cognitive impairment, personality changes, aphasia, visual impairment, inability to speak, right-sided weakness and monoplegia, cortical blindness	Positive	Positive	+/+/+	Definite	Reported that there was no decrease in ALC

Continued

**Table** Case details of patients with MS who developed PML after fingolimod treatment (*continued*)

Age range, y	Sex	MS duration (at time of PML diagnosis), y	Prior treatment for MS; duration of washout of prior treatment	Fingolimod exposure, mo	Compatible clinical features	Compatible MRI findings	CSF PCR for JCV	Clinical symptoms/ MRI findings/ CSF PCR for JCV	Certainty of PML diagnosis	ALC, cells/ $\mu$ L
55-60	F	19	Switched from fingolimod to natalizumab after washout period of 4 wk. On therapy with natalizumab for 3 mo at the time of PML diagnosis	57	Personality changes and unspecified psychiatric disorder	Positive	Positive	+/+	Definite	Unknown
50-55	M	11	Interferon $\beta$ -1a, interferon $\beta$ -1b; washout period unknown	52	Severe visual disturbance in posterior area on both sides	Positive	Positive	+/+	Definite	460-840
45-50	M	14	Interferon $\beta$ -1a, interferon $\beta$ -1b, prednisolone; washout period unknown	44	Aphasia and higher brain dysfunction, abnormal speech, ataxia, seizure, cognitive disorder, and paralysis	Positive	Positive	+/+	Definite	>200
40-45	F	Unknown	Glatiramer acetate, interferon $\beta$ -1a; washout period unknown	65	Muscular weakness, cognitive loss, gait disturbance	MRI atypical for PML	Positive (local lab and NIH)	+/-	Probable	150 (~3 mo before PML diagnosis)
70-75	F	35	Glatiramer acetate, interferon $\beta$ -1a; washout period unknown	58	Progressive dysarthria, dizziness, ataxia, dysphasia, hemiparesis, memory impairment	Positive	Positive (local lab)	+/+	Definite	420-2,300
45-50	F	16	Glatiramer acetate, 4 y corticosteroid pulse therapy; washout period unknown	84	Worsening gait, cognitive deficits, psychomotor retardation, dysarthria, hemiparesis	Positive	Positive (local lab)	+/+	Definite	362-970

Abbreviations: ALC = absolute lymphocyte count; JCV = John Cunningham virus; MS = multiple sclerosis; PML = progressive multifocal leukoencephalopathy.

months before PML diagnosis. The second patient was receiving fingolimod for 4 years, 6 months, which was discontinued to start natalizumab and was diagnosed with PML 3 months later. Fourteen of the 15 patients were exposed to fingolimod for >2 years. Based on the available reported absolute lymphocyte counts in 14 of 15 patients, 4 of the patients exhibited grade 4 lymphopenia ( $\leq 200$  cells/ $\mu$ L). Previous MS treatments included natalizumab, interferons, corticosteroids, and glatiramer acetate, with no clinically identifiable patterns.

### Virologic characteristics

To date, there is only limited sequence information on the JCVs associated with PML in fingolimod-treated patients with MS who developed PML.

### PML clinical features and diagnosis

Heralding manifestations of PML at the time of clinical presentations included walking instability, weakness, memory problems, confusion, dysarthria, visual hallucinations, cognitive impairment, speech disturbances, concentration deficits, and visual impairment. One patient was clinically asymptomatic at presentation, and the disorder was diagnosed radiographically. No identifiable pattern was observed in clinical symptoms at presentation.

Brain MRIs were consistent with PML in 13 of the 15 cases and showed varying presentations, ranging from few but extending lesions to multiple lesions extending into adjacent lobes, cortical, subcortical, and juxtacortical regions, and sometimes ill-defined lesions, described with and without microcysts. Some images showed strong hyperintense diffusion-weighted imaging signals, whereas others were without clear diffusion signals.

PCR results for JCV DNA in the CSF were positive in all 15 patients when tested at local laboratories and/or at the NIH reference laboratory (Bethesda, MD). Serology for serum JCV antibodies was reported for 8 of the 15 patients and was positive in all.

### Treatment and clinical outcome

Treatment with fingolimod was discontinued in all patients, and subsequent therapies for PML included mefloquine, mirtazapine, and cidofovir in varying combinations. Three of the PML cases were fatal. Most patients were reported to be clinically stable or with slightly improving neurologic functions or with deficits including aphasia, mobility, and cognition.

## Discussion

In total, 15 patients with PML occurring in association with fingolimod administration alone were identified in the Novartis safety database, including 12 “definite” and 3 “probable” cases, by August 2017. This included 6 cases that have been published in the literature.<sup>13–18</sup> The overall rate of

PML with fingolimod treatment is estimated to be <1:10,000 patients, which was considered low risk in a recent article that classified the risk of PML with current disease-modifying therapies.<sup>19</sup> The current estimated risk of PML with fingolimod treatment is 0.069 (95% CI: 0.039–0.114) per 1,000 patients and the incidence rate is 3.12/100,000 patient-years.

There are limitations for these risk estimates in that they are solely based on the PML cases spontaneously reported to Novartis from the postmarketing setting. Another potential limitation is the estimate of patient exposure data, which are derived from sales data. PML is a rare, serious opportunistic infection with high awareness in the MS community; thus, the probability of physicians reporting these cases is good. The reporting rate over time has remained constant, thus suggesting that cases of PML are being reported.

In a recent review,<sup>19</sup> the risk of PML stratified by various disease-modifying therapies used in patients with MS suggested that natalizumab is associated with a significantly higher risk of PML. Fingolimod and dimethyl fumarate are associated with significantly lower risks. Alemtuzumab, mitoxantrone, rituximab, and teriflunomide have a potential risk of PML because all of these agents are associated with the risk of PML when used in treatment regimens/indications other than MS or have a related compound with which PML has been observed. For newer compounds, such as daclizumab and ocrelizumab, clinical experience is very limited and the associated PML risk is unknown. The current risk of PML with fingolimod is very low; to date, only 15 cases have been reported. Moreover, the few cases observed and evaluated so far do not allow the identification of any evidence-based specific guidance to form monitoring and risk-mitigation strategies for fingolimod. However, certain risk mitigation strategies have been proposed for other drugs with a larger number of such cases or a potentially clearer correlation with their mode of action, such as routine JCV antibody testing or specific lymphocyte cutoffs. However, the value of such guidance systems and their ability to prevent additional PML cases is yet to be proven. So far, the risk stratification algorithm has not led to any marked reduction in the incidence of PML in natalizumab-treated patients.<sup>20</sup> Another previous report suggested that, although a decrease in the incidence of PML has not been noted, the rate of incidence increase has certainly reduced significantly between 2013 and 2016, after the introduction of the Stratify JCV assay.<sup>21</sup> Nevertheless, JCV antibody status should be retested regularly considering the false-negative rates and the potential for seroconversion.<sup>22,23</sup>

The number of PML cases reported so far with fingolimod is too low to trigger any specific interventions at this point in time. Guidelines that are not evidence-based may result in an additional burden for patients with uncertain benefits, including the risk of inappropriate modification of an effective MS therapy, which in itself carries the risk of increasing morbidity in such patients. At present, no PML risk

stratification methodology has been identified for patients treated with fingolimod. However, risk mitigation is focused on increased awareness and education of patients and prescribers regarding such cases because, in most instances, early diagnosis and appropriate management are key to improved therapeutic outcomes.

The clinical signs and symptoms of PML often resemble those observed with an MS relapse; however, in contrast to an MS relapse, these tend to be slowly and persistently progressive in nature. Physicians should keep this in mind when investigating patients for PML and must look for features that distinguish PML from other differential diagnoses including MS. In a PML case series consisting of 28 patients,<sup>24</sup> typical clinical presentation included neurobehavioral, motor, language, and visual symptoms, with cognitive changes being more prominent. Acute or subacute cognitive changes, language disturbances, and seizures should serve as “red flags” for the possibility of PML. Optic neuritis and myelopathy would not be anticipated as clinical manifestations of PML.

MRI scans offer a sensitive tool in the diagnosis of PML. Typical PML lesions are diffuse, subcortical, and located exclusively in the white matter. These lesions appear as single or multiple hyperintense areas in T2-weighted images, which become confluent and large with disease progression. MRI contrast enhancement is believed to be minimal or absent in PML<sup>25</sup>; however, in AIDS-associated PML, 10% of patients exhibited contrast enhancement on CT scans and 15% on MRI with gadolinium.<sup>26</sup> In natalizumab-associated PML, 43% of cases showed gadolinium contrast enhancement at the time of diagnosis.<sup>24</sup> Although contrast enhancement alone cannot be used as a feature to distinguish an MS relapse from PML, patterns of contrast enhancement, the nature and location of the lesions, and the presence of a dark rim around the lesions on susceptibility weighted imaging or gradient echo imaging may be helpful in distinguishing the demyelinating lesions of PML from those of MS.<sup>27,28</sup>

As of August 2017, approximately 217,000 patients have been treated with fingolimod in both clinical trials and post-marketing settings, and the total patient exposure exceeds 480,000 patient-years. Although the risk of PML with fingolimod treatment is considered very low, vigilance toward PML is required for all patients irrespective of the low risk. The current understanding of the mechanism of action of fingolimod does not provide a convincing causal link between fingolimod treatment and the incidence of PML. Fingolimod has been shown to prevent the egress of CCR7<sup>+</sup> naive T cells and central memory T cells from lymph nodes, sparing CCR7<sup>-</sup> effector memory T cells.<sup>29</sup> The redistribution of CD4<sup>+</sup> central memory T cells from circulation to lymphatic organs may contribute to the development of PML. In some cases, because of its partial sequestration of effector memory T cells, fingolimod may have a contributory role to other factors in reducing immune response to JCV reactivation. Isolating and fully characterizing the viruses in cases of

fingolimod-associated PML may assist in understanding the disease pathogenesis with fingolimod.

Based on available data, there appear to be no clinically or radiographically unique features of fingolimod-associated PML. The sex distribution is concordant with the overall fingolimod-treated population. Ten of the 15 patients were older than 50 years at the time of PML diagnosis. Although an exact relationship with fingolimod treatment duration cannot be elucidated, all 15 cases occurred after 18 months or more of treatment. There appears to be no correlation with profound lymphopenia and lymphocyte subsets (CD4, CD8, and CD4/8 ratios) in fingolimod-treated patients, and this is not believed to be informative of PML risk. Treating physicians should be vigilant for signs and symptoms suggestive of PML in all patients who are being treated with fingolimod. As soon as PML is suspected, the drug should be discontinued until it has been ruled out. Early diagnosis is critical for better clinical outcomes. Unfortunately, there is no established treatment for PML,<sup>30</sup> and at this point of time, no treatment recommendations can be made based on the limited number of cases seen.

### Author contributions

All authors contributed to the design, data collection, data analysis, and interpretation, and provided critical review during the preparation of the manuscript. All authors edited the manuscript for intellectual content, provided guidance during manuscript development, and approved the final version submitted for publication. The final responsibility for the content lies with the authors.

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## Study question

What is the estimated risk and incidence of progressive multifocal leukoencephalopathy (PML) after fingolimod treatment?

## Summary answer

There is a low risk of PML after fingolimod treatment in the absence of prior natalizumab treatment.

## What is known and what this paper adds

Evidence suggests an increased risk of PML in patients with multiple sclerosis who are treated with immunosuppressive or immunomodulatory agents. The present study determined the risk of PML after fingolimod use and suggests that fingolimod-associated PML is relatively rare and does not have unique clinical or radiographic features.

## Participants and setting

The study identified 15 patients with fingolimod-associated PML (12 definite cases and 3 probable cases) in the overall population of patients treated with fingolimod as documented in the Novartis safety database.

## Design, size, and duration

Safety database data were used to generate a risk estimate and incidence of PML among patients treated with fingolimod. Patients with fingolimod-associated PML were characterized in terms of age, disease characteristics, comorbidities, and previous medications including natalizumab treatment.

## Primary outcomes

Three of the PML cases were fatal. Most patients were reported to be clinically stable; had slightly improving neurologic functions; or had deficits including aphasia, mobility, and cognition.

Age range (y)	Fingolimod exposure (mo)	Certainty of PML diagnosis
Median: 53	Ranged from 18 to 65	All but one patient was symptomatic at the time of diagnosis

## Main results and the role of chance

The estimated risk of PML in patients treated with fingolimod was 0.069 per 1000 patients (95% CI: 0.039–0.114) with an incidence of 3.12 per 100,000 patient-years (95% CI: 1.75–5.15). Among 15 patients who developed PML, 11 were women and 14 had received fingolimod treatment for >2 years. Two patients had a history of natalizumab treatment; however the contributory role of fingolimod could not be excluded. No patient exhibited sustained grade 4 lymphopenia.

## Bias, confounding, and other reasons for caution

Risk estimation in this study was solely based on cases of PML that were spontaneously reported to Novartis during post-market surveillance. Additionally, patient exposure data were estimated from both clinical trial data and estimated worldwide sales volume (kilogram of active substance).

## Generalizability to other populations

The results of the present study are generalizable to all patients receiving treatment with fingolimod.

## Study funding/potential competing interests

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*A draft of the short-form article was written by A. Symons, a writer with Editage, a division of Cactus Communications. The authors of the full-length article and the journal editors edited and approved the final version.*



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## Progressive multifocal leukoencephalopathy after fingolimod treatment

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