

Education Research:

Can my electronic health record teach me something?

A multi-institutional pilot study

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On average, 4 clinical questions arise per patient encounter¹ and about half the time, information needs are left unresolved.² There is significant interest in capturing, sharing, and using knowledge within the daily work of health professionals in order to improve health outcomes. The 2009 Health Information Technology for Economic and Clinical Health (HITECH) Act offers up to \$27 billion over 10 years to providers demonstrating “meaningful use” of electronic health records (EHRs).³ “Meaningful use” implies more than just recording information; use of the EHR should improve patient care.

Just as the stethoscope did in the past, EHRs with knowledge management capacities represent a new tool for the clinician. Knowledge management tools integrate collective knowledge into a common space such as a repository, emphasize the user community as a working unit (shared spaces, recommendation systems, collaborative learning systems), and also emphasize knowledge structures (information mediator systems, digital libraries, and ontology-based systems).⁴ Several studies have shown the Infobutton, a context-sensitive knowledge retrieval link in some EHRs, to be effective in anticipating clinical questions, providing answers, and positively impacting patient care.^{5,6} However, as of 2010, only 3.6% of nonfederal acute care US hospitals had a comprehensive EHR.⁷

Physician attitude will play a critical role in the adoption of EHR technology.⁸ Moreover, it is unclear how, and to what extent, these tools can be integrated into the academic training setting. In this multi-institutional pilot simulation, we sought to assess the perceived utility, user preferences, and barriers to implementation of a knowledge management tool in neurology and internal medicine. We anticipated that clinicians would endorse its potential utility, offer important user feedback, and identify challenging yet surmountable barriers to implementation.

METHODS **Software design.** In conjunction with The University of Miami Miller School of Medicine (UMMSM) Center for Computational Sciences, we developed a prototype clinical knowledge management system called KNOW-ET-AL (Knowledge Needs

Organizing Wizard and Event Tracker for Applied Learning). This software addresses the active learning needs of a physician by automatically generating enriched patient overviews (EPOs) based on real-time EHR information (figure). These personalized reports include information necessary for optimal continuity of care, enriched with educational resources. The system mines electronic fields within the health record for key words, such as diagnosis, ethnicity, and age, which are then used to perform automated searches for articles in PubMed. The software then chooses references from peer-reviewed journals that are associated with the highest impact factor. Priority is given to the most recent date of publication referencing practice guidelines, humans, English language, and the journal groups subset core clinical journals. The system also uses the key words to search tagged content stored in a document management system including a variety of educational materials, such as PowerPoint presentations, video content, and Web-based learning modules, as well as patient education material. Through “continuity-of-care alerts,” the software can notify a clinician when a patient returns to the emergency room or is readmitted to the hospital. “Live lecture alerts” notify users of on-campus didactics that may be relevant to their patients. Users are invited to rate the utility of resources presented (figure) in order to help the software choose future references.

Study design. After UMMSM institutional review board approval, this simulation was disseminated to neurology and internal medicine faculty, fellows, and residents of UMMSM, University of Rochester School of Medicine and Dentistry, University of Pennsylvania Perelman School of Medicine, Harvard Medical School, and Weill Cornell Medical College. E-mail reminders were sent out repeatedly to encourage participation.

Four software simulations, each including clinical scenarios of mutual interest to internal medicine and neurology, were designed using SurveyMonkey.com. Each simulation included the aforementioned EPO, followed by a few clinical questions, followed by questions assessing clinician perspectives. Case topics included cognitive neurology (age-related cognitive impairment/Alzheimer disease), vascular neurology (cerebral ischemia/congestive heart failure), seizure (due to lung cancer with brain metastases), and neuroinfectious disease (headache/meningitis). Eligible participants were first e-mailed an informed consent and a single case (cognitive impairment). A month later, a second consent and invitation was sent that prompted participants to choose one of the 3 remaining cases.

Participants were invited to utilize resources provided in the EPO to answer clinical questions posed about the patient. They then rated the links according to their likelihood to change one’s clinical practice (Yes, No, or Unknown). Survey questions in 5-point scales, multiple choice, and free response formats also assessed perceived utility, user preferences, and barriers to implementation (table). We collected user feedback on likelihood to use

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Figure Enriched patient overview prototype



- Links
- Clinician
- Dashboard
- Transition Overviews

A 73 year-old man with Hypertension, Dyslipidemia, Memory Loss and Alzheimer's disease was admitted on June 16, 2011 with a chief complaint of Chest Pain. He was discharged on June 19, 2011. He has a follow-up appointment with you on Thursday, September 15, 2011. Below is a brief summary of the hospitalization, enriched with patient-specific educational resources.

Quick Links to Clinical Notes in the EMR: (Intranet Access Only)

- [Neurology Consult Note, June 17, 2011 \(CLICK TO VIEW\)](#)
- [Gastroenterology Consult Note, June 17, 2011 \(CLICK TO VIEW\)](#)
- Discharge Summary: DICTATED, NOT TRANSCRIBED

Neuroimaging Results:

MRI Brain 06/18/11: Moderate non-specific white matter changes which may represent small vessel ischemic changes.

Discharge Diagnoses:

- Duodenal Ulcer
- Hypertension
- Dyslipidemia
- Dementia NOS

Discharge Medications:

- Aspirin 81mg PO daily
- Hydrochlorothiazide 25mg PO daily
- Atenolol 100mg PO daily
- Donepezil 10mg PO daily
- Crestor 10mg PO daily
- Omeprazole 40mg PO daily

Tests Pending at Discharge:

- Vitamin B12 06/17/11: 102 mg/dL.
- Surgical pathology 06/17/11: Diagnosis: Warthin-Starry stain is positive for H. pylori.

Upcoming Appointments:

- Gastroenterology September 16th, 2011.

Peer-Reviewed Publications:

- [A phase II trial of huperzine A in mild to moderate Alzheimer disease.](#)
Rafii MS et al. Neurology 2011.
- [Update on Vitamin B12 Deficiency.](#)
Langan RC, Zawistoski KJ. Am Fam Physician 2011.
- [Prevention of peptic ulcers with esomeprazole in patients at risk of ulcer development treated with low-dose acetylsalicylic acid: a randomized, controlled trial](#)
Scheiman JM et al. Heart 2011.

Clinical Guidelines:

- [Practice parameter update: evaluation and management of driving risk in dementia;](#)
- [Report of the Quality Standards Subcommittee of the American Academy of Neurology.](#)
Iverson DJ et al. Neurology 2010.

Archived Lectures, Multimedia & CME:

- [Alzheimer's disease – Can it be prevented?](#)
Didactic lecture by Dr. Richard Isaacson. June 2011.

Patient & Caregiver Education Materials: (click link to print a PDF version)

- [Dementia and Alzheimer's Care – Planning and Preparing for the Road Ahead.](#)
Helpguide.org 2011
- [Driving and Dementia Patient Education Pamphlet.](#)
American Family Physician 2006

Live Lecture Alerts (click link to add to your calendar)

- ["Treatment of Hypertension for Cardiovascular Disease and Stroke"](#)
Ralph L. Sacco, M.D., M.P.H., Professor and Chair, UM Dept. of Neurology
Neurology Grand Rounds, November 10, 2011, Lois Pope Life Center 1pm.

Continuity-based Alerts:

- Click [here](#) to be alerted via email if this patient arrives to the emergency room.
- Click [here](#) to be alerted if this patient receives a new diagnosis.

Did this resource change your practice?

Y	N	UNK
☆	☆	☆
☆	☆	☆
☆	☆	☆
☆	☆	☆
☆	☆	☆
☆	☆	☆
☆	☆	☆
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☆	☆	☆

This enriched patient overview (EPO) prototype was the first case simulation e-mailed to all potential survey respondents. EPOs consist of a brief summary of the hospitalization with links to additional information in the electronic health record, as well as a series of educational resources that can be rated by the user in order to adapt subsequent resource selection according to individual preferences.

Table User perceptions and preferences^a

	Total	Faculty	Trainees	Neurology	Internal medicine
Perceived utility					
"There is a need for better EMR tools to help improve medical education and continuing medical education"	129 (83)	51 (80)	77 (90)	72 (82)	51 (86)
"There is a need for better EMR tools to further inform clinical decision-making"	127 (81)	53 (83)	73 (85)	70 (80)	51 (86)
Access to this type of software could change my practice	120 (77)	44 (69)	74 (86)	66 (75)	48 (81)
Would open automated e-mails regarding specific patients	103 (66)	42 (66)	60 (70)	55 (63)	42 (71)
Specific uses					
Would use to answer a clinical question	124 (79)	51 (80)	72 (84)	69 (78)	51 (86)
Would use to access high-quality, up-to-date information quickly	115 (74)	45 (70)	69 (80)	65 (74)	45 (76)
For faculty: Would use to obtain CME credits	15/64 (23)	15 (23)	NA	12/32 (38)	3/27 (11)
For residents: Would use for test-taking purposes	16/71 (23)	NA	16/71 (23)	7/40 (18)	9/30 (30)
Which educational resources would you use?					
Clinical guidelines	148 (95)	62 (97)	84 (98)	83 (94)	59 (100)
Peer-reviewed publications	135 (87)	58 (91)	75 (87)	76 (86)	53 (90)
Patient and caregiver educational materials	115 (74)	47 (73)	66 (77)	69 (78)	40 (68)
Continuity-of-care-based alerts	83 (53)	35 (55)	47 (55)	47 (53)	32 (54)
Archived multimedia	77 (49)	26 (41)	49 (57)	42 (48)	30 (51)
Live lecture alerts	57 (37)	18 (28)	37 (43)	31 (35)	22 (37)
Web site vs e-mail access					
Prefer e-mail delivery of EPOs	61 (39)	29 (45)	32 (37)	29 (33)	29 (49)
Prefer Web site access	59 (38)	22 (34)	37 (43)	44 (50)	14 (24)
Prefer combination of e-mail and Web site access	32 (21)	13 (20)	17 (20)	14 (16)	16 (27)
Prefer to receive 1-2 e-mails per week	98 (63)	45 (70)	52 (60)	57 (65)	38 (64)
Prefer to receive 0 e-mails per week	23 (15)	9 (14)	14 (16)	16 (18)	6 (10)
Prefer to receive 3-4 e-mails per week	20 (13)	8 (13)	12 (14)	8 (9)	12 (20)
How and when would you access this software?					
With desktops or laptops	79 (51)	31 (48)	47 (55)	48 (55)	31 (53)
With tablet	51 (33)	18 (28)	32 (37)	27 (31)	22 (37)
With PDA	38 (24)	12 (19)	26 (30)	15 (17)	23 (39)
At clinic/hospital	80 (51)	27 (42)	52 (60)	47 (53)	32 (54)
At home	68 (44)	21 (33)	47 (55)	35 (40)	28 (47)
In real-time during outpatient clinic	79 (51)	35 (55)	42 (49)	46 (52)	31 (53)
Within a week of an outpatient visit	55 (35)	22 (34)	33 (38)	35 (40)	20 (34)
Within a day of an outpatient visit	46 (29)	18 (28)	27 (31)	27 (31)	18 (31)
During inpatient rounds	46 (29)	19 (30)	25 (29)	25 (28)	19 (32)
During weekly dedicated time in the morning prior to clinic	26 (17)	5 (8)	21 (24)	15 (17)	9 (15)
During weekly conferences	21 (13)	8 (13)	13 (15)	9 (10)	12 (20)
During an educational session at the end of each discrete rotation	13 (8)	6 (9)	7 (8)	6 (7)	7 (12)
Barriers					
Concern of receiving too many e-mails	73 (47)	29 (45)	43 (50)	45 (51)	27 (46)
Concern it would take too long to read an educational resource	71 (46)	30 (47)	40 (47)	44 (50)	23 (39)

Continued

Table Continued

	Total	Faculty	Trainees	Neurology	Internal medicine
Concern that too many educational resources would be presented	70 (45)	30 (47)	40 (47)	40 (45)	27 (46)
Total	156 (100)	64 (100)	86 (100)	88 (100)	59 (100)

Abbreviations: CME = continuing medical education; EMR = electronic medical record; EPO = enriched patient overview; NA = not applicable; PDA = personal digital assistant.

^a The total number (%) of unique survey respondents who affirmed each statement is reported here, also divided according to faculty vs trainees (residents and fellows), and neurology vs internal medicine. Survey questions were posed in formats including multiple choice, yes/no/unsure, and check all that apply.

the aforementioned categories of resources. Additionally, all links included in the simulation were tracked using ClixTrac.com in order to determine the percentage of respondents who actually clicked each link presented.

All data were stored on the secure, password-protected SurveyMonkey.com and were exported to Microsoft Excel and GraphPad Prism for descriptive statistical analysis. χ^2 Tests were performed to compare responses between neurology and internal medicine participants, and between faculty and residents/fellows.

RESULTS A total of 189 patient simulations were completed (134 for the cognitive neurology case, 21 vascular neurology, 15 seizure, 19 neuroinfectious disease). Responses were collected from 739 invitations (18% response rate overall for the cognitive impairment case [34.3% University of Pennsylvania, 32.4% UMMSM-Neurology, 22.7% Harvard, 14.3% Cornell, 13.8% University of Rochester, 11.6% UMMSM-Medicine] and 7.4% for the second simulation). A total of 60% of those participating in the second simulation had already participated in the first; therefore, there were 156 unique respondents (21% of those invited to participate in the study). The participation rate of internists ($n = 414$) (from UMMSM) was 14%. The response rate of neurologists ($n = 325$) from each of the 5 participating institutions was 27%. The participation rate varied from 14% to 38% across the 6 programs ($p < 0.01$).

Results are summarized in the table. Regarding perceived utility, more than 80% of unique respondents agreed that there is a need for EHR tools to improve medical education and continuing medical education, as well as to inform clinical decision-making. Of the educational resources provided, clinical guidelines (95%) and peer-reviewed publications (87%) were the most popular. The most commonly cited barriers included overly numerous e-mails (47%), lack of time to read a resource (46%), and overly numerous educational resources (45%). A majority (63%) stated that they would prefer to receive no more than 1–2 e-mails per week.

ClixTrac data confirmed that users who rated resources highly also clicked upon the links. In the first survey, the highest rated resources were clinical guidelines (72% 4 or 5 stars) and one of the peer-reviewed articles (57%). A total of 72% and 84% clicked these links, respectively. The lowest click rates correlated with

links that were entirely simulated (2 continuity-of-care alerts, 20% and 12%; live lecture alert, 40%) or provided little education value to the participant (patient education materials, 35% and 39%).

There was one statistically significant difference of opinion between faculty and residents: 38% of faculty, vs 7% of residents, responded that software such as this would be most valuable for clinical questions outside their own specialty ($p < 0.01$). Results were also compared between medicine and neurology participants using χ^2 tests, and none were found to be significantly different between the 2 groups.

DISCUSSION Given the nascent technology, adoption of fully functional EHRs with integrated knowledge management systems is currently low.^{9,10} Therefore, little is known regarding how and to what extent these tools can be implemented in academic training settings. Perhaps most important are the time challenges in a real-world practice setting. We sought to assess clinicians' perceived utility, user preferences, and barriers to implementation regarding a novel knowledge management tool developed at UMMSM.

The major limitation of our study was the low response rate. We attempted to minimize this by sending several e-mails over several weeks. However, only 18% of those initially e-mailed actually participated in this study and the response rate was even lower (7.4%) for the second simulation. While this response rate is similar to one published industry average for medical services, our goal was higher and may have been improved with a more optimal "call-to-action" subject heading.¹¹ Variability in recruitment style by institution likely also contributed. Time pressures may also have played a role. The most conservative interpretation would be that the nonresponders were not interested in this type of technology and would have rated its utility poorly. However, the response rate might have been higher if the software had sent e-mails about clinicians' real patients rather than mock patients. We postulate that the lower response rate for the second case represented responder fatigue.

A vast majority of respondents agreed that there is a need for better EHR-based tools to improve medical education and clinical decision-making. The software

consistently identified resources that “could change practice,” demonstrating the ability of a basic search algorithm to identify valuable clinical resources. There was also clinician demand for innovative education approaches such as EHR-linked examination preparation and EHR-linked continuing medical education. Interestingly, faculty were more likely to express interest in using the technology for learning outside their own specialty. Also, despite the continuing trend toward using handheld devices as medical schools adopt these devices in teaching and patient care, clinicians preferred desktop to device access. In general, clinicians worried that too much information would be presented. Results were similar across specialty and institution, lending support to the generalizability of these findings.

Several prior studies have demonstrated the efficacy, and the potential impact on practice, of knowledge management technologies in health care. The KnowledgeLink Infobutton at Partners Healthcare answered 84% of clinical questions, and altered 15% of patient care decisions.⁶ At a different institution, 74% of Infobutton users felt it had a positive impact on patient care, with 20% reporting a specific positive impact.⁵ In one study, Infobutton technology retrieved pertinent papers to over 55% of online discussion threads.⁶

Our study has other limitations. Our survey was not validated, but we used closed-ended questions, with simple wording and balanced rating scales, and attempted to provide logically ordered nonleading questions. With 154 unique respondents, our margin of error was approximately 8%. Stratified random sampling, which improves the representativeness of the sample population to the population as a whole, was not performed. Furthermore, when asked hypothetically outside of a busy clinical setting, clinicians might optimistically rate the utility of available resources. However, click tracking showed that those who rated a given resource highly (4 or 5 stars) also took the time to click on the resource. Finally, participation rates varied among programs and we did not collect information about nonresponders. This would contribute to a nonresponder bias if differences in recruitment approaches led to differences in characteristics of responders. Strengths of the study include the multi-institutional design and the inclusion of more than one medical specialty.

Our findings suggest that information technology tools should be integrated, with protected time, into graduate and continuing medical education, yet wisely and sparingly. We identified that time is the most important barrier to implementation. The low response rate may have represented this aforementioned time barrier, or may have represented a lack of perceived utility, or a combination of the two. If this software were delivered during protected time, relating to real cases

with active knowledge gaps, even more favorable survey results might have ensued. Identifying the most important types of cases (e.g., those with common patient safety issues) or targeting clinical weaknesses might be another way to address time challenges.

There is value in having a venue, or a social space, that enables both explicit (e.g., research articles) and tacit (e.g., clinical experience) knowledge sharing to take place.¹² Mobley and Rosenberg¹³ recently predicted that “trainees will benefit by search engines specifically targeted for the care of neurology patients.” In future steps, we hope to assess the effectiveness of this tool, vs comparable knowledge technologies and vs independent research, in the context of protected time. We hope to identify whether these tools can improve medical knowledge and clinical decision-making, as measured by the Internal Medicine and Neurology Script Concordance Tests.¹⁴ We might find that, indeed, these tools can achieve important quality-of-care goals by freeing clinicians from spending time looking for relevant patient information, and allowing them instead to spend time delivering optimal care.

AUTHOR CONTRIBUTIONS

Alon Seifan: study conceptualization and design, data analysis, manuscript preparation. Morgan Mandigo: study conceptualization and design, data analysis, manuscript preparation. Raymond Price: study design, study recruitment. Steven Galetta: study design, study recruitment, manuscript preparation. Ralph Jozefowicz: study design, study recruitment, manuscript preparation. Amir Jaffer: study design, study recruitment, manuscript preparation. Stephen Symes: study design, study recruitment. Joseph Safdieh: study design, study recruitment. Richard S. Isaacson: principal investigator, study conceptualization and design, manuscript preparation.

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