Health-Related Quality of Life in Adults With Classical Infratentorial Superficial Siderosis: A Cross-sectional Study

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David Werring: Drafting/revision of the manuscript for content, including medical writing for content; Study concept or design; Analysis or interpretation of data; Additional contributions: The study was conceptualised by DEB, DJW, NK and AAM. Pilot findings from the study were included in the AAM’s MSc Thesis. NK prepared the first draft of this manuscript. MP provided guidance regarding statistical analysis. All authors reviewed the manuscript for intellectual content, provided comments, edited and reviewed the final version of the manuscript. - Natallia Kharytaniuk The study was conceptualised by DEB, DJW, NK and AAM. Pilot findings from the study were included in the AAM’s MSc Thesis. NK prepared the first draft of this manuscript. MP provided guidance regarding statistical analysis. All authors reviewed the manuscript for intellectual content, provided comments, edited and reviewed the final version of the manuscript. - Amir Ala Mazaheri The study was conceptualised by DEB, DJW, NK and AAM. Pilot findings from the study were included in the AAM’s MSc Thesis. NK prepared the first draft of this manuscript. MP provided guidance regarding statistical analysis. All authors reviewed the manuscript for intellectual content, provided comments, edited and reviewed the final version of the manuscript. - Menelaos Pavlou The study was conceptualised by DEB, DJW, NK and AAM. Pilot findings from the study were included in the AAM’s MSc Thesis. NK prepared the first draft of this manuscript. MP provided guidance regarding statistical analysis. All authors reviewed the manuscript for intellectual content, provided comments, edited and reviewed the final version of the manuscript. - The study was conceptualised by DEB, DJW, NK and AAM. Pilot findings from the study were included in the AAM’s MSc Thesis. NK prepared the first draft of this manuscript. MP provided guidance regarding statistical analysis. All authors reviewed the manuscript for intellectual content, provided comments, edited and reviewed the final version of the manuscript. - Doris-Eva Bamiou
Doris-Eva Bamiou: Drafting/revision of the manuscript for content, including medical writing for content; Study concept or design; Analysis or interpretation of data; Additional contributions: The study was conceptualised by DEB, DJW, NK and AAM. Pilot findings from the study were included in the AAM’s MSc Thesis. NK prepared the first draft of this manuscript. MP provided guidance regarding statistical analysis. All authors reviewed the manuscript for intellectual content, provided comments, edited and reviewed the final version of the manuscript. - Natallia Kharytaniuk The study was conceptualised by DEB, DJW, NK and AAM. Pilot findings from the study were included in the AAM’s MSc Thesis. NK prepared the first draft of this manuscript. MP provided guidance regarding statistical analysis. All authors reviewed the manuscript for intellectual content, provided comments, edited and reviewed the final version of the manuscript. - Amir Ala Mazaheri The study was conceptualised by DEB, DJW, NK and AAM. Pilot findings from the study were included in the AAM’s MSc Thesis. NK prepared the first draft of this manuscript. MP provided guidance regarding statistical analysis. All authors reviewed the manuscript for intellectual content, provided comments, edited and reviewed the final version of the manuscript. - Menelaos Pavlou The study was conceptualised by DEB, DJW, NK and AAM. Pilot findings from the study were included in the AAM’s MSc Thesis. NK prepared the first draft of this manuscript. MP provided guidance regarding statistical analysis. All authors reviewed the manuscript for intellectual content, provided comments, edited and reviewed the final version of the manuscript. - David John Werring The study was conceptualised by DEB, DJW, NK and AAM. Pilot findings from the study were included in the AAM’s MSc Thesis. NK prepared the first draft of this manuscript. MP provided guidance regarding statistical analysis. All authors reviewed the manuscript for intellectual content, provided comments, edited and reviewed the final version of the manuscript.
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ABSTRACT

BACKGROUND AND OBJECTIVES

Infratentorial superficial siderosis (iSS) is a rare but disabling neurological condition characterised by progressive hearing loss, balance and mobility problems. The functional decline in these neurological domains with iSS progression is likely to adversely impact health-related quality of life (HRQoL). We studied HRQoL of adults with iSS using two common generic HRQoL measures (Health Utilities Index Mark III (HUI3) and EuroQoL EQ5D (5 Level) to determine the most impacted domains and evaluate the association between HRQoL scores and disease duration.

METHODS

This observational study was an anonymous online survey. Following institutional Research Ethics Committee approval, we contacted dedicated international organisations, charities and patient-groups identified through online searches, social media and collaborative networks, to distribute the study information and study link, inviting their members diagnosed with iSS to participate. Participation required access to a digital device connected to the internet, confirmation of eligibility (aged ≥18 years and previously diagnosed with iSS) and informed consent to participate in the survey, which included study-specific questions (demographics, iSS, hearing) and HRQoL questionnaires. Survey responses were captured by the Research Electronic Data Capture (REDCap) survey software and analysed using the SPSS statistical package. Linear regression analysis was performed to investigate the association between HRQoL scores and disease duration.

RESULTS

Of fifty participants, 60% were male; the median (interquartile range, IQR) age was 60 (15) years. The median (IQR) multi-attribute scores for HUI3 and EQ5D were 0.36 (0.53) and 0.64 (0.33), respectively. The most frequently affected domains (moderate or worse category) were hearing (64%), and pain (48%) for HUI3, and mobility (54%) and pain (50%) for EQ5D. There was a weak association between disease
duration and multi-attribute scores for HUI3 (R=0.353; adjusted $R^2=0.096$; b=-0.008; p=0.047) but not EQ5D.

DISCUSSION

Our findings demonstrate low HRQoL scores which capture low functional status in several domains typically affected in iSS, suggesting that iSS has a major adverse impact on quality of life in multiple functional domains. Measures of HRQoL in iSS should be included in clinical and research settings, including treatment trials.

INTRODUCTION

Classical (infratentorial) superficial siderosis (iSS) of the central nervous system (CNS) is a rare but disabling neurological disorder which is associated almost invariably with hearing loss, and often imbalance (ataxia) and myelopathy. Other features of iSS, which are probably under-reported, include cognitive impairment, bladder and bowel dysfunction, and chronic pain.

iSS is characterised by slow low volume bleeding into the cerebrospinal fluid – in the great majority of cases due to a dural defect caused by CNS trauma or surgery – which leads to hemoglobin breakdown and the generation of toxic iron species which are bound to ferritin forming hemosiderin deposits on the surfaces of the CNS structures including the cerebellum, brainstem, cranio cervical junction and spinal cord (Figure 1). Although the clinical course is highly variable, there is often progressive functional decline, with deterioration in hearing, balance, mobility and other symptoms. Treatment is predominantly aimed at identifying and repairing the source of bleeding, halting the effect of neurotoxic iron with an iron-chelating agent (e.g., deferiprone), or both.

iSS is considered rare (defined as 1 per 2000 EU population or affecting less than 200 000 individuals in the USA). The prevalence of iSS is not well described, but a few studies indicate this to be between 0.03% and 0.14%, which may be similar to that of other progressive neurodegenerative disorders such as Friedreich’s Ataxia and some subtypes of spinocerebellar ataxia.
The importance of evaluating the individual’s perspectives of living with a rare disorder and assessing its impact on daily functioning have been previously highlighted, with measurement of quality of life suggested as a particular priority for research in rare disorders 19-22.

The gradual functional decline in iSS, likely with disease progression, and involvement of multiple functional domains, may have a marked negative impact on (health-related) quality of life (HRQoL) of individuals with iSS. Thus, an understanding of HRQoL is critical to understanding the true health impact of iSS.

Although the terms “quality of life” and “health-related quality of life” (HRQoL) have been used interchangeably, here we use the term HRQoL defined by the UK’s National Institute for Health and Care Excellence (NICE) as “a combination of a person’s overall physical, mental and social wellbeing, not merely the absence of disease” 23 24. To measure HRQoL, they recommend using EQ5D – a generic HRQoL instrument, commonly used for benchmarking in the National Health Service 25 26. However, NICE also recognise the need for disease-specific or other generic instruments that capture key domains specific for the disease of interest 27.

To the best of our knowledge, the impact of iSS on HRQoL has not been systematically studied, and no dedicated HRQoL measures for iSS exist. The aims of this study were: to calculate the overall HRQoL multi-attribute scores of the individuals with iSS using two common generic HRQoL instruments; determine which domains demonstrate the lowest (worst impacted) functional status for each instrument; and evaluate for the association between HRQoL scores and disease duration.

METHODS

Study Design and Setting

This observational study was an anonymous online survey which included study-specific questions and two validated generic HRQoL questionnaires, administered using the institution-licenced Research Electronic Data Capture (REDCap) survey platform. The online format was chosen to allow participation of individuals.
in a range of near and remote geographic locations, and to overcome restrictions in place due to COVID-19.

Standard Protocol Approvals, Registrations, and Patient Consents

The study received approval by the University College London Research Ethics Committee (registration number: UCL REC 17413/001). Permissions for the academic use of the questionnaires were obtained from EuroQOL Group for EQ5D (5-Level, English language (UK), self-administered REDCap (online) version, Registration ID 33674) and from HUInc, (Dundas, Ontario, Canada) for HUI3 (English language, self-administered, 1-week recall version).

Following the Research Ethics Committee approval, we contacted dedicated organisations, charities and patient-groups within and outside the UK, identified through online search, social media and collaborative networks, to disseminate information about the survey and to invite their members who had been diagnosed with iSS to participate in the study. The information included a brief description of the study, a recruitment poster and participant information sheet and the link to the study with the study-specific code.

Individuals interested in the study needed to have access to a digital device connected to the internet to obtain the information about the study and to access the study webpages. The first page contained a brief description of the study, the same participant information sheet distributed to the dedicated organisations and patient groups, and the description of the study inclusion criteria. Prior to commencing the survey, potential participants were asked to confirm they had read the study information sheet and that they were eligible for the study: that they were of 18 years or older and had been diagnosed with superficial siderosis by a medical professional. The term “superficial siderosis” was defined as “superficial siderosis (infratentorial) of the central nervous system” and described in lay language as “a very rare neurological condition characterised by a trickle of blood through a defect in the sheath that covers the brain or spinal cord and deposition of iron on the surface of the brain, brain-related structures and/or spinal cord, in which hearing is most often involved”.

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Potential participants were provided with the online consent form and were required to agree to all sections of the consent form by ticking all the boxes. Only then were they able to proceed to the survey webpages. The survey was open and active between April 2020 and July 2021.

**Survey Contents and Participation Process**

After providing the consent, the participants were taken to the study pages which included study-specific questions and the questionnaires and were able to complete the survey at their own pace including pausing the survey if necessary.

**Study-specific Questions**

The survey-specific questions included participants’ demographics (age, gender, country of residence), iSS-specific questions (confirmation of diagnosis of iSS, age at diagnosis, whether causative event known, age at causative event, treatment and year when commenced) and hearing-specific questions (if hearing difficulties in background noise and overall, and tinnitus present, age at onset of hearing problems and otological history).

**HRQoL Questionnaires**

Two generic HRQoL questionnaires were included in the study. First, the Health Utilities Index Mark III (HUI3) – was chosen as it includes several domains which can be affected in individuals with iSS and has been identified as a better instrument to reflect HRQoL in persons with hearing complains\(^\text{1,30,31}\). Second, the EuroQOL-5D (EQ5D) 5-level – was chosen as it has been widely used in the UK in the variety of settings although it includes fewer domains with limited coverage of those likely to be affected in iSS\(^\text{32}\). Both instruments provide multi-attribute (utility) scores, representing overall health-states, and are known to correlate with each other (Pearson r= 0.7) including in a cohort with hearing impairment\(^\text{31,33}\).

The HUI3 and EQ5D questionnaires were presented to the participants in fixed order.
HUI3 includes eight domains (“attributes”) and consists of 15 questions of five-six levels which are converted into disability categories and utility scores\(^{30,34}\). The HUI3 domains and levels include (from Horsman et al\(^{34}\)):

1. Vision: from “able to see well enough to read ordinary newsprint and recognize a friend on the other side of the street, without glasses or contact lenses” (level 1) to “unable to see at all” (level 6);

2. Hearing: from “able to hear what is said in a group conversation with at least three other people, without a hearing aid” (level 1) to “unable to hear at all” (level 6);

3. Speech: from “able to be understood completely when speaking with strangers or friends” (level 1) to “unable to be understood when speaking to other people (or unable to speak at all)” (level 5);

4. Ambulation: from “able to walk around the neighbourhood without difficulty, and without walking equipment” (level 1) to “cannot walk at all” (level 6);

5. Dexterity: from “full use of two hands and ten fingers” (level 1) to “limitations in use of hands or fingers, requires the help of another person for all tasks (not independent even with use of special tools)” (level 6);

6. Emotion: from “happy and interested in life” (level 1) to “so unhappy that life is not worthwhile” (level 5);

7. Cognition: from “able to remember most things, think clearly and solve day to day problems” (level 1) to “unable to remember anything at all, and unable to think or solve day to day problems” (level 6);

8. Pain: from “free of pain and discomfort” (level 1) to “severe pain that prevents most activities” (level 5);

The HUI3 outputs included: (1) single-attribute disability categories and utility scores, and (2) multi-attribute disability categories and utility scores. The scores’ range of values was from 0.00 to 1.00 for single attributes (from worst to perfect functional state), whereas the range of values for multi-attribute scores, representing the overall HRQoL states, was from -0.36 to 1.00, with 0 equal to “being dead”, 1 being
“perfectly healthy”, and less than zero scores representing “worse than dead” states. Score differences of ≥0.03 are considered clinically significant.

EQ5D (5-level) assesses HRQoL in five domains (“dimensions”) and in five categories (levels) which include (from Herdman et al):

1. Mobility: from “I have no problems in walking about” (level 1) to “I am unable to walk about” (level 5);
2. Self-care: from “I have no problems washing or dressing myself” (level 1) to “I am unable to wash or dress myself” (level 5);
3. Usual activities: from “I have no problems doing my usual activities” (level 1) to “I am unable to do my usual activities” (level 5);
4. Pain/Discomfort: from “I have no pain or discomfort” (level 1) to “I have extreme pain or discomfort” (level 5);
5. Anxiety/Depression: from “I am not anxious or depressed” (level 1) to “I am extremely anxious or depressed” (level 5);

Each domain is represented by a number corresponding to the category of the perceived problem, and a unique health-state can be derived from combinations of the categories and the domains. Other EQ5D outcome measures include respondents’ self-perceived health-states represented using visual analogue scale (VAS) provided by each participant as a number ranging from 0 to 100 (“worst to best imaginable” health-state), and multi-attribute (utility) scores derived using a country-specific value set reflecting the country-specific differences in values attributed to the health states. The UK tariff (crosswalk model from EQ5D 3-level) was used to calculate the utility scores for all participants irrespective of their country of residence. This was done because the larger proportion of participants indicated the UK as their country of residence (followed by the USA). Participants’ responses could not be standardised for demographic and geographic differences. The range of the UK tariff values was from -0.594 to 1. The US tariff (values range from -0.109 to 1) was included for comparative purposes only. The scores of 0
and 1 equalled to “being dead” and “perfectly healthy”, respectively, and “worse than dead” states were represented by less than zero scores.

Data Collection

The data collected included coded answers to the study-specific questions and to the questionnaires. The study data were anonymous at the outset, therefore no personally identifiable information was collected. Incomplete and duplicate entries were excluded from the analysis and not reported.

Data Availability

Fully anonymised data will be available from the institution’s research data repository (https://doi.org/10.5522/04/19846924) and will be stored for the duration stipulated by the institution’s research data storage policy.

Statistical Analysis

Statistical analysis was performed using SPSS Version 27 (IBM Corp, Armonk, NY). A coded SPSS file with the study data outputs was generated by REDCap following the study closure. Participants’ characteristics were summarised using descriptive statistics. Frequencies and percentages were reported for categorical data. All measures were assessed for normality using Shapiro-Wilk test (p-values >0.05 confirming assumptions of normal distribution). Mean scores with standard deviation (SD) were reported for continuous data with normal distribution; median scores with interquartile ranges (IQR) were reported for continuous data that appeared to deviate from normality.

Non-parametric tests were used for the analysis of data that appeared to violate assumptions of normal distribution. The Mann-Whitney U test was performed to assess the difference in mean ranks of the utility scores based on gender, treatment status, presence of hearing problems and tinnitus, and between the multi-attribute scores of EQ5D and HUI3. The significance level was set at 0.05.
Linear regression analysis was performed to study the associations between the instruments’ scores, and disease duration. Even though the outcomes (EQ5D scores and calculated disease duration) exhibited slight skewness, the residuals from the model fits were assessed for normal distribution using histograms and probability (P-P) plots.

There were no missing data within the HRQoL instruments.

RESULTS

Demographics and Study-specific Questions

Of 50 participants included in the study, 60% were male; the median age was 60 (IQR 15) years. The majority of participants (64%) reported the UK as their country of residence followed by the USA (32%). English was the primary language for most (98%) (Table 1).

The likely causative event for developing iSS was known in 64% of cases; the mean age at the time of the presumed causal event was 30 (SD 19), with two participants being under 1 year of age at the time of the presumed event (Table 1).

The mean age at the time of iSS diagnosis was 53 (SD 13) years. The median duration between the likely causative event and the diagnosis was 17 (IQR 24) years. In only 6% of cases, the diagnosis was achieved within the same year of the likely causative event.

Most (94%) participants reported hearing difficulties, including in the presence of background noise (Table 1). The median age at the onset of hearing problems was 47 (IQR 21) years. Family history of early onset hearing loss (not related to age) was reported by 14% participants; one (2%) participant reported congenital deafness. The median time-interval between the onset of hearing symptoms and the diagnosis was 4 (IQR 11) years; 12% participants had the onset of hearing problems after the diagnosis (all within 3 years), and 10% participants reported the onset of hearing problems within the same year as diagnosis.
The median time-interval between the likely causative event and the onset of hearing symptoms was 12 (IQR 17) years, but some hearing symptoms preceded the likely causative event in 12% of cases.

Over half (56%) of participants reported they had received treatment for iSS, 75% of whom were receiving medical treatment; 14% participants had received both surgery and medication. The mean treatment duration at the study participation was 4 (SD 3) years.

HRQoL Measures

HUI3

The most frequently affected domains (moderate or worse category) were hearing (64%), pain (48%), cognition (46%), and ambulation (42%) (Figure 2, Table 2). These domains also demonstrated the lowest single-attribute scores (median, IQR): hearing (0.71, 0.54) and ambulation (0.83, 0.33) followed by cognition (0.92, 0.30) and pain (0.92, 0.15).

Less frequently involved domains were emotion (26%), speech (18%), dexterity (16%) and vision (12%).

[INSERT TABLE 2 HERE]

The mean HUI3 multi-attribute scores were 0.36 (SD 0.33); scores less than zero were observed in 16% of cases; the highest score of 1 was reached in 2% of cases. The mean ranks comparison (Mann-Whitney U test) of HUI3 multi-attribute scores identified statistically significant lower scores for females (n=20; U=196.0; z=-2.06; p=0.039) and for individuals with hearing problems (n=47; U=138.0; z=2.76; p=0.006), but not based on treatment status (n=28; U=308.0; z=0.0; p=1.000) or presence of tinnitus (n=39; U=141.0; z=-1.7; p=0.085).

EQ5D

Analysis of the EQ5D categories (ranging from no problems to extreme problems) demonstrated that the most affected domains (moderate or worse category) were mobility (54%), pain (50%), and usual activities (40%); the least affected domain was self-care (16%) (Figure 2, Table 3).
The median values for the EQ5D multi-attribute scores were 0.64 (IQR 0.33) and for the visual analogue scale (VAS) were 60 (IQR 40); scores below zero were observed in 4% participants; the highest score of 1 was observed in 6% participants.

The mean ranks comparison (Mann-Whitney U test) of EQ5D multi-attribute scores identified statistically significant lower scores for individuals with hearing problems (n=47; U=122.0; z=2.10; p=0.035) and those with tinnitus (n=39; U=76.5; z=-3.23; p=0.001), but not based on gender (U=201.5; z=-1.95; p=0.051), or treatment status (n=28; U=352.0; z=0.86; p=0.39).

Analysis of HRQoL Scores and Disease Duration

The multi-attribute scores for HUI3 (mean rank =39.9) appeared to be lower than the EQ5D multi-attribute scores (mean rank =61.1); the difference was statistically significant: U=1778.5, z=3.64; p<0.001.

Linear regression analysis identified a strong association between both instruments’ multi-attribute scores despite the statistically significant difference in their mean ranks: R=0.635; adjusted R²=0.391; b=0.503; 95% CI 0.325 to 0.680; and between the HUI3 multi-attribute scores and EQ5D VAS values: R=0.665; adjusted R²=0.430; b=0.009; 95% CI 0.006 to 0.012 (Table 4).

There was a weak association between disease duration and multi-attribute scores for HUI3 (R=0.353; adjusted R²=0.096; b=0.008; 95% CI -0.0016 to -0.000102) but not EQ5D (Table 4). Although the EQ5D scores and disease duration demonstrated slightly skewed data distribution, the residuals from the linear regression model fits demonstrated approximately normal distribution (assessed using histograms and probability (P-P) plots).
DISCUSSION

To the best of our knowledge this is the first study to quantitatively and systematically capture HRQoL in iSS. Using two common validated generic measures (HUI3 and EQ5D), we found that HRQoL scores were lower than population norms for both instruments (Figure 3, Tables 2 & 3)\(^{41-43}\). HUI3 identified moderate or worse overall functional status in 94% of participants.

Hearing difficulty is the most frequently reported feature of iSS, which was observed in our study, with the worst functional levels and utility scores observed in the HUI3 hearing domain.

The study findings also demonstrated the impact of iSS on multiple functional domains including hearing, cognition, ambulation and pain, all of which have been reported as being affected in patients with iSS\(^{1-3}\). These findings suggest that pain and cognitive impairment in iSS may have a similar prevalence as problems with mobility and hearing, so may be key aspects of the iSS clinical syndrome. Indeed, cognitive impairment has been observed in 50% of patients with iSS studied in the clinical setting\(^3\).

EQ5D analysis identified mobility and pain as the most frequently affected domains, in keeping with the HUI3 affected domains of ambulation and pain. However, the EQ5D may underestimate the impact of iSS on HRQoL for individuals in whom hearing or cognitive problems predominate as these domains are not included in the EQ5D. Thus, in the absence of iSS-specific measures, HUI3 might be a more suitable instrument for assessing HRQoL in adults with iSS, in line with the NICE recommendations for tailored HRQoL instruments\(^27\).

Furthermore, the HUI3’s potentially superior sensitivity to measure HRQoL in iSS is probably also reflected in the instruments’ multi-attribute scores which were significantly lower than for EQ5D. More HUI3 multi-attribute scores were observed to be below zero than for EQ5D, however this might be due to the previously described floor and ceiling effects\(^{33,44}\).

The multi-attribute scores appeared to be worse for participants with hearing problems (for both EQ5D and HUI3), and with tinnitus (for EQ5D). It possible that despite not including hearing as a domain, the
EQ5D anxiety/depression domain was partially able to capture the impact of tinnitus on HRQoL as it is well known to be related to anxiety and stress.

The scores observed in our cohort appeared to be similar to those derived from patients with several other complex neurological conditions, such as patients with moderate disability from traumatic brain injury (mean scores of 0.51 (EQ5D, Dutch tariff) and HUI3 0.48 for moderate and 0.33 lower moderate disability), although direct comparisons between the studies could not be made due to demographic differences (age, country of study and tariffs used) and the severity of disorders for which HUI3 and EQ5D were reported.

The mean EQ5D multi-attribute scores (and VAS values) in our study also appeared to be similar to those reported for Motor Neuron Disease: 0.57 (60) (albeit in an older cohort), and in stroke patients of similar age: 0.52 (53).

Strengths and Limitations

The strength of this study is that it first of its kind to measure HRQoL in iSS. The anonymous online format facilitated reaching out to wider patient population groups and optimising recruitment opportunities. This approach may also have reduced bias associated with face-to-face completion of questionnaires.

The sample size in our study should be considered as large in view of iSS rarity, as the majority of studies’ cohorts with iSS are in single or teen figures.

There are several limitations to our study. We were unable to independently verify the clinical and radiological diagnosis of iSS, including brain and spine MRI. To mitigate this, participants were asked twice to confirm their diagnosis: first, in the consent form, and then again within the study-specific questions.

Participants needed to have access to a digital device and internet which might have introduced selection bias, whereas order bias may have resulted from presentation of questionnaires in fixed order, as well as recall bias due to the retrospective nature of study specific questions. The study was conducted predominantly focusing on problems with hearing, as it is often the most common and earliest symptom reported by individuals with iSS; we did not collect data on urinary and bowel problems, and they are likely not to have been captured by the two instruments used in the study.
Even though our results demonstrated presence of association between the HUI3 multi-attribute scores and disease duration, this analysis should be interpreted with caution, as the self-perceived HRQoL may be influenced by other factors, which might not have been accounted for in this study-- including the individual’s coping strategies and abilities.

Due to the study design, the collected outcomes could not be compared to objective clinical measures of impairments reflecting the severity of iSS clinical syndrome. Further longitudinal studies are needed to correlate HRQoL utility scores with clinical measures and determine if HUI3 can capture small but clinically significant changes over time.

**Conclusion**

This study found markedly reduced HRQoL scores in iSS which may be comparable to other complex neurological conditions, highlighting an unmet need for healthcare resources to tackle the consequences of iSS. Our study identified potential advantages of the HUI3 over EQ5D in iSS including assessment of hearing, lower multi-attribute scores, and correlation of HUI3 scores with disease duration (suggesting face validity). Since iSS-specific HRQoL measures are lacking, we therefore propose the use of HUI3 (or a combination of HUI3 and EQ5D) to capture the impact of iSS on HRQoL.

**TABLES**

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<td>Event</td>
<td>Mean</td>
</tr>
<tr>
<td>--------------------------------------------</td>
<td>------</td>
</tr>
<tr>
<td>- at survey*</td>
<td>57.5</td>
</tr>
<tr>
<td>- at diagnosis</td>
<td>52.8</td>
</tr>
<tr>
<td>- at onset of hearing problems (n=45)*†</td>
<td>44.8</td>
</tr>
<tr>
<td>- at causative event (n=32)</td>
<td>30.0</td>
</tr>
<tr>
<td>Time-interval (years) from:</td>
<td></td>
</tr>
<tr>
<td>- causative event to survey (n=32)*</td>
<td>25.6</td>
</tr>
<tr>
<td>- causative event to diagnosis (n=32)*</td>
<td>19.9</td>
</tr>
<tr>
<td>- causative event to hearing symptoms (n=30)*†</td>
<td>10.0</td>
</tr>
<tr>
<td>- onset hearing symptoms to diagnosis (n=45)*†</td>
<td>9.1</td>
</tr>
<tr>
<td>- diagnosis to survey (n=50)*</td>
<td>4.7</td>
</tr>
<tr>
<td>Treatment:</td>
<td></td>
</tr>
<tr>
<td>- medication</td>
<td>Yes</td>
</tr>
<tr>
<td>- surgery</td>
<td></td>
</tr>
<tr>
<td>- both</td>
<td></td>
</tr>
<tr>
<td>Treatment duration, including since surgery (years, n=26)*†</td>
<td>3.8</td>
</tr>
<tr>
<td>Hearing difficulties (overall) difficulties in background noise</td>
<td>Yes</td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td>Tinnitus</td>
<td>Yes</td>
</tr>
</tbody>
</table>

**Table 1. Participants’ demographics and iSS-related and hearing-specific characteristics.** *Appeared to violate assumption of normal distribution (Shapiro-Wilks test of normality, p<0.05); †not stated in 2 cases. Legend: CI confidence interval; IQR interquartile range; n/a not applicable; SD standard deviation.
HUI3 single attributes (n=50) | Categories (functional levels) (n, %) | Scores
|---------------------------------|---------------------------------|

<table>
<thead>
<tr>
<th></th>
<th>None</th>
<th>Mild</th>
<th>Moderate</th>
<th>Severe</th>
</tr>
</thead>
<tbody>
<tr>
<td>Vision*</td>
<td>10 (20%)</td>
<td>34 (68%)</td>
<td>3 (6%)</td>
<td>3 (6%)</td>
</tr>
<tr>
<td>Hearing*</td>
<td>11 (22%)</td>
<td>7 (14%)</td>
<td>18 (36%)</td>
<td>14 (28%)</td>
</tr>
<tr>
<td>Speech*</td>
<td>37 (74%)</td>
<td>4 (8%)</td>
<td>9 (18%)</td>
<td>0</td>
</tr>
<tr>
<td>Cognition*</td>
<td>15 (30%)</td>
<td>12 (24%)</td>
<td>15 (30%)</td>
<td>8 (16%)</td>
</tr>
<tr>
<td>Ambulation*</td>
<td>16 (32%)</td>
<td>13 (26%)</td>
<td>10 (20%)</td>
<td>11 (22%)</td>
</tr>
<tr>
<td>Dexterity*</td>
<td>32 (64%)</td>
<td>10 (20%)</td>
<td>3 (6%)</td>
<td>5 (10%)</td>
</tr>
<tr>
<td>Emotion*</td>
<td>16 (32%)</td>
<td>21 (42%)</td>
<td>10 (20%)</td>
<td>3 (6%)</td>
</tr>
<tr>
<td>Pain*</td>
<td>10 (20%)</td>
<td>16 (32%)</td>
<td>17 (34%)</td>
<td>7 (14%)</td>
</tr>
</tbody>
</table>

Overall health-state (multi-attribute) measures

- by levels
- index values (multi-attribute scores)

Population norms for USA‡ (mean for 46-64 and 65+ age groups)

0.36; 0.36; 0.33; 0.27-0.45; 0.53; -0.23-1.00

0.78; 0.70;

Self-reported overall health-status (levels) (n=50)

<table>
<thead>
<tr>
<th>Levels</th>
<th>Excellent</th>
<th>V. good</th>
<th>Good</th>
<th>Fair</th>
<th>Poor</th>
<th>N/A</th>
</tr>
</thead>
<tbody>
<tr>
<td>n (%)</td>
<td>2 (4%)</td>
<td>13 (26%)</td>
<td>18 (36%)</td>
<td>11 (22%)</td>
<td>6 (12%)</td>
<td></td>
</tr>
</tbody>
</table>

Table 2. Results for Health Utilities Index Mark III (HUI3): frequencies of levels (categories) and scores for each domain (single attributes), and levels (categories) and multi-attribute scores (overall health states); *appeared to violate the assumption of
normal distribution (Shapiro-Wilks test of normality p<0.05); ‡USA values (from Luo et al. 42). Legend: CI confidence interval; IQR interquartile range; N/A not available; SD standard deviation; V. good very good.

<table>
<thead>
<tr>
<th>EQ5D Dimensions (n=50)</th>
<th>Categories (levels) (n, %)</th>
<th>Normative values†</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>No problems</td>
<td>Slight problems</td>
</tr>
<tr>
<td>Mobility</td>
<td>9 (18%)</td>
<td>14 (28%)</td>
</tr>
<tr>
<td>Self-care</td>
<td>29 (58%)</td>
<td>13 (26%)</td>
</tr>
<tr>
<td>Usual activities</td>
<td>11 (22%)</td>
<td>19 (38%)</td>
</tr>
<tr>
<td>Pain</td>
<td>8 (16%)</td>
<td>17 (34%)</td>
</tr>
<tr>
<td>Anxiety/Depression</td>
<td>23 (46%)</td>
<td>15 (30%)</td>
</tr>
<tr>
<td>Visual Analogue Scale (VAS)*†</td>
<td>Study cohort (mean; median; SD; 95% CI; IQR; min-max)</td>
<td>Normative data (mean, SD, 95% CI)</td>
</tr>
<tr>
<td></td>
<td>59.5; 60.0; 23.5; 52.9-66.2; 40.0; 17-95</td>
<td>UK</td>
</tr>
<tr>
<td></td>
<td></td>
<td>USA</td>
</tr>
<tr>
<td>Multi-attribute (utility) scores*</td>
<td>UK</td>
<td>0.59; 0.64; 0.26; 0.51-0.66; 0.33; -0.22 – 1.00</td>
</tr>
<tr>
<td></td>
<td>USA</td>
<td>0.69; 0.74; 0.18; 0.64-0.74; 0.22; 0.15 – 1.00</td>
</tr>
</tbody>
</table>

Table 3. Results for EuroQOL-5D 5-Level (EQ5D): frequencies of levels (categories) for each domain (dimension), values for Visual Analogue Scale (VAS) and multi-attribute scores (overall health-states); †scores 0-100 representing worst-best possible health states;
*appeared to violate assumption of normal distribution (Shapiro-Wilks test of normality, p<0.05); ‡unstandardised normative values (age-specific to match the study cohort), from Szende et al 41. Legend: CI confidence interval; IQR interquartile range; SD standard deviation
Table 4. Linear regression analysis between the instruments’ scores and disease duration.

Disease duration was calculated from the likely causative event to the survey; level of significance was set at 0.05; asymptotic 2-tailed p-values provided. Legend: Adj adjusted; CI confidence interval; coeff coefficient; EQ5D EuroQOL-5D; HUI3 Health Utilities Index Mark III, VAS Visual Analogue Scale.

<table>
<thead>
<tr>
<th></th>
<th>R coeff</th>
<th>Adj R²</th>
<th>b-coeff</th>
<th>95% CI</th>
<th>p-value</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>HUI3 multi-attribute scores (n=50)</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>EQ5D multi-attribute scores (UK tariff)</td>
<td>0.635</td>
<td>0.391</td>
<td>0.503</td>
<td>0.325 to 0.680</td>
<td>&lt;0.001</td>
</tr>
<tr>
<td>EQ5D (VAS)</td>
<td>0.665</td>
<td>0.430</td>
<td>0.009</td>
<td>0.006 to 0.012</td>
<td>&lt;0.001</td>
</tr>
<tr>
<td><strong>Disease duration (n=32)</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>HUI3 multi-attribute scores</td>
<td>0.353</td>
<td>0.096</td>
<td>-0.008</td>
<td>-0.0016 to -0.000012</td>
<td>0.047</td>
</tr>
<tr>
<td>EQ5D multi-attribute scores (UK tariff)</td>
<td>0.302</td>
<td>0.061</td>
<td>-0.006</td>
<td>-0.013 to 0.001</td>
<td>0.093</td>
</tr>
<tr>
<td>EQ5D VAS</td>
<td>0.094</td>
<td>-0.024</td>
<td>-0.156</td>
<td>-0.773 to 0.461</td>
<td>0.610</td>
</tr>
</tbody>
</table>
FIGURES

Figure 1. Axial magnetic resonance images showing typical appearances of hemosiderin in iSS. T2-weighted (A, C) and corresponding paramagnetic (B, D) sequences; images demonstrate involvement of infratentorial regions: superior vermis (arrowheads, A, B), cerebellar folia (arrows, C, D), and midbrain (dashed arrows, A-D).
Figure 2. Single attributes by categories for both instruments. A. Health Utilities Index Mark III (HUI3) and B. EuroQOL-5D 5-level (EQ5D-5L).
Figure 3. EuroQOL-5D (EQ5D) Visual Analogue Scale (VAS) values for the UK and the USA cohorts. Respective country norms provided from Janssen et al. 38

REFERENCES


Health-Related Quality of Life in Adults With Classical Infratentorial Superficial Siderosis: A Cross-sectional Study

Natallia Kharytaniuk, Amir Ala Mazaheri, Menelaos Pavlou, et al.

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