Association Between Characteristics of National Association of Epilepsy Centers and Reported Utilization of Specific Surgical Techniques

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Abstract

Objective: Nearly one third of persons with epilepsy will continue having seizures despite trialing multiple antiseizure medications. Epilepsy surgery may be beneficial in these cases, and evaluation at a comprehensive epilepsy center is recommended. Numerous palliative and potentially curative approaches exist, and types of surgery performed may be influenced by center characteristics. This article describes epilepsy center characteristics associated with epilepsy surgery access and volumes in the U.S.

Methods: We analyzed National Association of Epilepsy Centers 2019 annual report and supplemental survey data obtained with responses from 206 adult epilepsy center directors and 136 pediatric epilepsy center directors in the United States. Surgical treatment volumes were compiled with center characteristics, including U.S. Census region. We used multivariable
modeling with zero-inflated Poisson regression models to present odds ratios and incidence rate ratios of receiving a given surgery type based on center characteristics.

**Results:** The response rate was 100% with individual element missingness less than 4% across 352 observations undergoing univariate analysis. Multivariable models included 319 complete observations. Significant regional differences were present. Rates of laser interstitial thermal therapy (LITT) were lower at centers in the Midwest (IRR 0.74, 95% CI 0.59-0.92; \(p = 0.006\)) and the Northeast (IRR 0.77, 95% CI 0.61-0.96; \(p = 0.022\)) compared to those in the South. Conversely, responsive neurostimulation (RNS) implantation rates were higher in the Midwest (IRR 1.45, 95% CI 1.1-1.91; \(p = 0.008\)) and West (IRR 1.91, 95% CI 1.49-2.44; \(p < 0.001\)) compared to the South. Center accreditation level, institution type, demographics, and resources were also associated with variations in access and rates of potentially curative and palliative surgical interventions.

**Interpretation:** Epilepsy surgery procedure volumes are influenced by U.S. epilepsy center region and other characteristics. These variations may impact access to specific surgical treatments for persons with drug resistant epilepsy across the U.S.

**Introduction**

Epilepsy affects nearly 3.5 million people in the United States.\(^1\) Nearly 30% of people with epilepsy have drug resistant epilepsy (DRE), defined by refractory seizures despite appropriate treatment with two or more anti-seizure medications.\(^2,3\) DRE is associated with increased morbidity and mortality, decreased quality of life, and increased health care utilization.\(^4,5\)
Epilepsy surgery is an effective treatment for carefully selected persons with epilepsy. Resections for focal-onset seizures are superior to medical management alone and may be curative for carefully selected candidates.\textsuperscript{6-8} Others may benefit from palliative surgery such as neuromodulation and corpus callosotomy, which may reduce the frequency, duration, or severity of seizures.\textsuperscript{9-12}

Surgical options for epilepsy have increased in number and complexity over time.\textsuperscript{13} Some etiologies and syndromes have multiple potential options. For instance, epilepsy secondary to mesial temporal sclerosis may be approached using anterior temporal lobectomy with amygdalohippocampectomy\textsuperscript{6}, stereotactic laser interstitial thermal therapy (LITT)\textsuperscript{14}, or neuromodulatory therapy\textsuperscript{15}. Seizures due to Lennox Gastaut syndrome (LGS) may be palliated with corpus callosotomy or vagus nerve stimulator (VNS) insertion.\textsuperscript{16} Clinical trials directly comparing various surgical approaches have not occurred. Choice of surgical procedure is often based on factors such as physician or patient preference and available resources.

The vast majority of epilepsy surgeries in the United States occur at an epilepsy center accredited by the National Association of Epilepsy Centers (NAEC). The NAEC collects data from its approximately 260 accredited epilepsy centers on the size and scope of epilepsy monitoring units, personnel, diagnostic testing, surgeries, and other services. Level 3 or level 4 centers are accredited based on center resources, with level 4 centers serving as regional or national referral sites with comprehensive diagnostic and surgical treatment capabilities.\textsuperscript{17} We hypothesized center characteristics influence surgical treatment practices. We designed and disseminated a supplemental survey to gather more information regarding testing and treatment practices pertaining to epilepsy surgery. We previously reported NAEC member center
characteristics associated with presurgical test utilization. In this study, we describe center attributes associated with reported utilization of specific surgical techniques.

**Methods**

We analyzed merged data obtained from the 2019 annual report and a supplemental survey on epilepsy surgery practices in the year 2019 from all level 3 and level 4 NAEC epilepsy centers. Data were submitted by center directors, assessed for quality by comparing both to prior years and other centers, and discordant data were reviewed with the member center. The supplemental survey was sent separately to both the adult and pediatric center directors for combined adult/pediatric centers, for a total of 352 center directors surveyed. In order to combine data from the annual survey and the supplemental survey, surgery volume data from combined adult/pediatric center annual surveys were divided based upon age category (under 18 years versus greater than or equal to 18 years) and linked to the supplemental survey from that demographic center director (“pediatric combined” or “adult combined”). All reported data reflect pre-COVID-19 pandemic practices.

**Statistical Analysis**

Data from responses were described using frequency (percentage of non-missing totals) for categorical variables and median (interquartile range) for continuous variables. Missing values of treatments were set to zero if meeting certain conditions. If all procedure volumes were missing from a given center, no imputation was performed. If only some procedure volumes were missing, those left blank were recorded as zero.

Separate regression models were built for each of the surgical treatments as dependent variables, which included temporal lobectomy, extratemporal resection,
hemispherotomy/ectomy, LITT, corpus callosotomy, VNS implantation, and RNS implantation. Deep brain stimulation (DBS) cases were excluded due to lack of reliable reporting. Potential model independent variables included organization accreditation level (level 3 vs. 4), center director demographic (pediatric vs. adult patients), institution type (academic, private practice, or teaching affiliate), U.S. geographic region (South, Midwest, Northeast, or West), number of epileptologists with two or more years of fellowship training, percent of resections performed with electrocorticography (ECOG; by 10% incremental increase), availability of image-guided robotics (yes vs. no), availability of magnetoencephalography (MEG; yes vs. no), availability of positron emission tomography (PET; yes vs. no), and availability of single-photon emission computerized tomography (SPECT; yes vs. no). Variables that were highly imbalanced were not utilized in the multivariable models, and this most commonly was the distribution of treatment by organization accreditation level.

Many centers reported performing none of any given treatment type (count = 0). Therefore, we utilized zero-inflated (ZIF) Poisson regression models\textsuperscript{18} for these highly skewed count data. These multivariable models had two components: one for modeling the count of treatments with Poisson regression and another for modeling excess zeros in the data. There are two sources of zeros in a ZIF Poisson model: excess zeros that come from the binary component and zeros that come from the count component.

Estimates from the first component (zero-inflated) of the ZIF Poisson model are presented as odds ratios (ORs). The original binary model captures the probability of no treatment. For ease of interpretation, we present inverted ORs to interpret odds of performing any of a given procedure. An OR greater than 1 indicates increased odds of performing the procedure; an OR less than 1 indicates decreased odds of performing that procedure. Estimates
from the second component (Poisson regression) are presented as incidence rate ratios (IRRs). An IRR greater than 1 indicates a factor by which the treatment rate for a given surgery is higher than the rate for the reference category; an IRR less than 1 indicates the factor by which the rate is decreased compared to the reference category.

All statistical analyses were performed in R version 4.0 (R Core Team, Vienna, Austria) with reproducible programming in R Markdown. P-values ≤ 0.05 were considered statistically significant. ZIF Poisson models were constructed with the `zeroinfl` function from R package `pscl`. Backward stepwise model selection based on Akaike information criterion (AIC) was performed using the `stepAIC` function from R package `MASS`.

*Standard Protocol Approvals, Registrations, and Patient Consents*

The ethical standards committee at Nationwide Children’s Hospital determined this study exempt from institutional review board approval.

*Data Availability*

Qualified researchers may request data using the NAEC policy governing the release of member center data (https://www.naec-epilepsy.org/wp-content/uploads/NAECBoardPoliciesforDataAccess.pdf).

*Results*

*Exploratory characteristics*

The overall response rate was 100%, though some fields were not completed across all respondents. A total of 352 observations were included. Level 4 EMU centers accounted for 280
(80%) of the observations, and 211 (62%) were characterized as academic/university type institutions. The respondents were 206 (60%) adult EMU directors and 136 (40%) pediatric EMU directors. Epilepsy center demographics and characteristics are summarized in Table 1. Degree of missingness for all variables was less than 4%. Only statistically significant outcomes are described.

Measuring treatment differences

Outcomes were described in terms of odds ratios (ORs) and incidence rate ratios (IRRs) for both potentially curative and palliative surgical procedures. ORs were quantified to capture the probability of performing at least one procedure of a given type at a respondent’s center with a specified characteristic, holding all other variables constant in the model. IRR quantified the expected rate of treatment, or volume, from a respondent’s center holding all other variables constant in the model.

Potentially Curative surgeries

Potentially curative surgeries included temporal lobectomy, extratemporal resection, LITT, and hemispherotomy/ectomy. The odds of performing potentially curative surgeries were higher at centers with image-guided robotics (Figure 1), and treatment rates were higher at centers with MEG (Figure 2). Treatment rates were higher for each potentially curative procedure except hemispherotomy/ectomy at centers with a greater number of epileptologists with at least 2 years of fellowship training.

Temporal Lobectomy

Respondents from 208 (79%) level 4 centers and 9 (14%) level 3 centers reported performing at least one temporal lobectomy. Characteristics associated with higher odds (OR;
95% confidence interval (CI); p-value) included location in the Midwest region (vs. South; 2.43; 1.04-5.66; 0.039), West region (vs. South; 2.8; 1.19-6.62; 0.019), and greater utilization of intraoperative ECOG (1.23; 1.12-1.36; <0.001). Odds were lower when reported by pediatric center directors (0.31; 0.16-0.59; <0.001) and those in private practice (vs. academic; 0.25; 0.11-0.57; 0.001). Treatment rate (IRR; 95% CI; p-value) was also lower when reported by pediatric center directors (0.55; 0.48-0.63; <0.001) and those in private practice (vs. academic; 0.71; 0.57-0.88; 0.002).

**Extratemporal Resection**

At least one extratemporal resection was reported by 174 directors, including 169 (64%) at level 4 centers and 5 (7.7%) at level 3 centers. Odds were higher with greater utilization of intraoperative ECOG (1.09; 1.01-1.18; 0.019) and lower for directors at teaching affiliate centers (vs academic; 0.44; 0.23-0.86; 0.016). Treatment rate was higher when reported by pediatric center directors (1.54; 1.32-1.8; <0.001) and those with greater utilization of intraoperative ECOG (1.04; 1.02-1.07; <0.001). Availability of SPECT was associated with lower rates (0.68; 0.56-0.83; <0.001).

**LITT**

131 respondents reported performing at least one LITT, including 126 (48%) at level 4 centers and 5 (7.7%) at level 3 centers. Odds were greater in the West region (vs. South; 2.4; 1.12-5.13; 0.024), and they were lower at level 3 (vs. level 4; 0.12; 0.04-0.39; <0.001) and private practice (vs. academic; 0.26; 0.09-0.7; 0.008) centers. Treatment rate was higher at centers with SPECT (1.47; 1.03-2.09; 0.035). Treatment rate was lower at centers with PET (0.57; 0.35-0.95; 0.031), teaching affiliate centers (vs. academic; 0.54; 0.41-0.71; <0.001), when reported by pediatric center director respondents (0.8; 0.68-0.95; 0.012), or at centers in the
Midwest (0.74; 0.59-0.92; 0.006) and Northeast (0.77; 0.61-0.96; 0.022) compared to centers in the South.

Hemispherotomy/ectomy

At least one hemispherotomy/ectomy was reported by directors at 63 level 4 centers, most of whom (57%) were at pediatric-only centers. No level 3 centers performed this procedure. Odds were higher at centers in the Midwest region (vs. South; 3.96; 1.17-13.43; 0.027) and those reporting greater utilization of intraoperative ECOG (1.26; 1.09-1.46; 0.001). Odds (12.71; 3.4-47.49; <0.001) and treatment rates (4.62; 2.04-10.47; <0.001) were higher when reported by pediatric center directors.

Palliative Surgeries

Palliative surgeries studied included VNS implantation, RNS implantation, and corpus callosotomy. Implantation rates of VNS and RNS were higher at centers with a greater number of epileptologists with at least 2 years of fellowship training and centers with access to image-guided robotics (Figures 3 and 4).

VNS Implantation

276 center directors reported performing at least one VNS implantation at their site. Odds were lower in the Northeast (vs. South; 0.45; 0.21-0.96; 0.039). Treatment rate was higher at centers with access to MEG (1.17; 1.06-1.29; 0.002) and SPECT (1.2; 1.07-1.35; 0.002) or those in the Midwest (1.18; 1.07-1.31; 0.001) and West (1.47; 1.33-1.62; <0.001) compared to the South. Treatment rate was lower at level 3 centers (0.85; 0.74-0.97; 0.013) and at those in the Northeast (vs. South; 0.78; 0.69-0.89; <0.001).

RNS Implantation
At least one RNS implantation was reported by 134 center directors, including 131 (50%) at level 4 centers and 3 (4.6%) at level 3 centers. Odds were greater in the West region (vs. South; 2.72; 1.15-6.45; 0.023) and those reporting a greater percentage of surgeries performed with ECOG (1.18; 1.07-1.31; 0.001). Odds were lower when reported by pediatric center directors (0.16; 0.07-0.34; <0.001) and those at teaching affiliate centers (vs. academic; 0.34; 0.15-0.75; 0.008). Treatment rate was higher in the Midwest (1.45; 1.1-1.91; 0.008) and West (1.91; 1.49-2.44; <0.001) compared to the South. The treatment rate was lower at centers with greater utilization of intraoperative ECOG (0.96; 0.93-0.98; 0.003), with access to SPECT (0.63; 0.49-0.81; <0.001) and when reported by pediatric center directors (0.41; 0.29-0.57; <0.001).

**Corpus Callosotomy**

Respondents at 71 centers reported performing at least one corpus callosotomy, with 99% at level 4 academic (83%) or teaching affiliate (15%) centers. Higher odds were associated with greater utilization of intraoperative ECOG at the center (1.16; 1.02-1.32; 0.021) and access to MEG (4.6; 1.55-13.6; 0.006). Treatment rates were higher when reported by pediatric directors (4.17; 2.21-7.89; <0.001) and lower in centers with access to PET (0.43; 0.24-0.78; 0.005).

**Discussion**

We evaluated the association of epilepsy center characteristics on surgical treatments utilized for DRE to better understand epilepsy management in the U.S. Epilepsy surgery is the best option for curing or palliating unrelenting seizures for persons with DRE, yet it remains underutilized.21–24 We previously reported variations in presurgical testing linked to center characteristics. This study identified additional epilepsy center characteristics associated with variations in access and volume of specific procedures in the U.S.
The most novel findings relate to variations in specific procedures based on location. U.S. census geographic regions drove differences in both potentially curative and palliative surgery types after correcting for other characteristics. For instance, the odds of performing a hemispherotomy/ectomy were nearly 4 times greater in the Midwest compared to the South. Location also influenced treatment volumes, as evidenced by centers in the West having a rate of RNS implantation almost 2 times greater than those in the South. Potential causes of geographic influences on epilepsy surgery utilization may include patient sociodemographics, payer practices, or the influence of training institution and relative proximity of eventual practice location.

Previous studies demonstrated other regional disparities in epilepsy care in the United States. The odds of obtaining presurgical neuropsychological testing for DRE are lower in the South compared to the Midwest. This may be due to a strain on resources, as a greater proportion of patients with epilepsy live in the South than in other regions of the United States\textsuperscript{25–27} and all regions are undersupplied with neurologists aside from the Northeast.\textsuperscript{28} Seizure outcomes also vary with location. Persons in the Northeast receive care from epilepsy specialists more often than all other regions\textsuperscript{26,27}, and they report higher rates of seizure control compared to those in the South\textsuperscript{26}. The degree to which regional differences in testing and treatment interact, affect outcomes, and drive cost remains uncertain and require further study.

Some associations were expected and confirmed. Level 4 centers had greater odds and treatment rates for each surgery type, as they serve as regional or national referral sites with expertise in specialized neuroimaging, intracranial EEG, and more complex surgical techniques.\textsuperscript{17} This imbalance led to the exclusion of accreditation level from models for temporal lobectomy, extratemporal resections, corpus callosotomy, RNS implantation, and
hemispherotomy/ectomy. Institution type was also excluded from corpus callosotomy and hemispherotomy/ectomy, since most occur at academic or teaching affiliate programs. Only 52% of respondents have access to image-guided robotics, and yet this was positively correlated with both greater odds of offering each procedure aside from VNS and corpus callosotomy as well as higher treatment volumes for most procedure types. Procedures more likely to be performed in children, including hemispherotomy, extratemporal resection, and corpus callosotomy, were associated with a higher OR in epileptologists with at least 2 years of fellowship training and a greater percentage of surgeries performed with ECOG, likely reflective of these procedures being concentrated in level 4 academic centers.

Recent advances in stereoelectroencephalography, LITT, and neuromodulation have expanded potential treatment approaches for DRE. For instance, temporal lobectomy and LITT may be appropriate options for a given patient, and the choice between the two options may be impacted by center characteristics. The treatment rate for performing LITT was lower at centers with access to PET and in the Midwest and Northeast compared to the South, whereas it was higher at centers with SPECT. These influences were not present in temporal lobectomy treatment rates. Center features may also influence palliative choices, such as corpus callosotomy or VNS, two treatment options for LGS. Callosotomy had a higher treatment rate when reported by pediatric directors and at centers without PET, whereas VNS implantations were not affected by these characteristics. Additional interactions between center influences on presurgical testing and surgery may further impact access to specific treatments. Studying specific scenarios or patient cohorts across centers may further elucidate drivers for decision-making.
Availability of MEG, PET and SPECT was associated with individual procedure access and volume. The odds of performing hemispherotomy/ectomy or corpus callosotomy were greater at centers with access to MEG, and treatment rates for most procedures were also higher at these institutions. In contrast, corpus callosotomy treatment rates were lower at centers with PET compared to those without, likely due to a large number of directors at centers with PET [199 (75%)] not having performed a corpus callosotomy. Furthermore, access to PET was associated with lower LITT rates, and access to SPECT was associated with higher LITT and RNS rates but lower extratemporal resection rates. Centers with higher LITT rates may rely less on PET, especially in lesional temporal epilepsy. The opposite influences of SPECT on LITT and extratemporal resections may represent alternative approaches to similar patients as LITT becomes more common\textsuperscript{13} and as efficacy data emerge\textsuperscript{30}. Clinical trials directly examining LITT and resection are needed.

The results of this study are strengthened by the census survey methodology due to requirement for NAEC accreditation, with a 100% response rate and a low range of missingness. Future iterations of the survey may include required fields to improve data completeness. Findings are limited primarily by data acquisition methods, as surgery numbers and other data are manually entered into the survey, rather than obtained from claims data or similar source. This analysis is limited to center characteristics and does not account for population characteristics, such as patient demographics, which would provide further insight on our initial findings. Although NAEC member centers do not provide the entirety of epilepsy care in the U.S., they likely represent most of the specialized evaluations and procedures for those with DRE, as limited available data suggest low utilization of epilepsy surgery outside NAEC.
Therefore, our analysis is likely generalizable regarding the current state of surgical treatment for DRE in the U.S.

This study identifies effects of epilepsy center characteristics on surgical volumes, which may contribute to disparities in epilepsy surgery access. These findings provide a critical foundation to better examine outcomes for persons with DRE. Future work should examine the largescale effects of referral collaborations, location and payer mix on access to epilepsy surgery. Additionally, comparative study of the impact of variation in presurgical testing and choice of surgery on patient outcomes and cost are urgently needed.

### Appendix 1: Authors

<table>
<thead>
<tr>
<th>Name</th>
<th>Location</th>
<th>Contribution</th>
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</thead>
<tbody>
<tr>
<td>Kristen H. Arredondo, MD</td>
<td>Nationwide Children’s Hospital and The Ohio State University College of Medicine, Columbus, OH, USA</td>
<td>Designed and conceptualized study; analysis and interpretation of data; study supervision; drafted and revised manuscript</td>
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<td>Stephanie M. Ahrens, DO</td>
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<td>Barrow Neurological Institute at Phoenix</td>
<td>Drafted and revised manuscript; Major role in the acquisition of data</td>
</tr>
<tr>
<td>Name</td>
<td>Institution</td>
<td>Contributions</td>
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</tr>
<tr>
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<td>Drafted and revised manuscript; Major role in the acquisition of data; Study concept or design</td>
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<td>Drafted and revised manuscript; Major role in the acquisition of data; Study concept or design</td>
</tr>
<tr>
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<td>Barrow Neurological Institute Comprehensive Epilepsy Center, Phoenix, AZ, USA</td>
<td>Designed and conceptualized study; data acquisition and analysis; supervised the study; revised manuscript for intellectual content</td>
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References


Table 1: Respondents’ Center Characteristics and Services

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<th>Characteristic</th>
<th>N = 352¹</th>
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<tr>
<td><strong>Center Accreditation Level</strong></td>
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<tr>
<td>Level 4 Center</td>
<td>280 (80%)</td>
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<td>Level 3 Center</td>
<td>72 (20%)</td>
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<td><strong>Center Demographic</strong></td>
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<tr>
<td>Adult Only Epilepsy Center</td>
<td>110 (31%)</td>
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<td>Adult/Pediatric Epilepsy Center</td>
<td>192 (55%)</td>
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<td>Pediatric Only Epilepsy Center</td>
<td>50 (14%)</td>
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<td><strong>Respondent Demographic</strong></td>
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<tr>
<td>Adult EMU Director</td>
<td>206 (60%)</td>
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<td>Pediatric EMU Director</td>
<td>136 (40%)</td>
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<td><strong>Institution Type</strong></td>
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<tr>
<td>Academic/University</td>
<td>211 (62%)</td>
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<td>Private Practice</td>
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<td>75 (22%)</td>
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<td><strong>U.S. Census Region</strong></td>
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<tr>
<td>South</td>
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<td>Midwest</td>
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<td>Northeast</td>
<td>86 (24%)</td>
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<td>West</td>
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<td><strong>Percent Resections with Electrocorticography (ECOG)</strong></td>
<td>25 (10, 75)</td>
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<td>MEG</td>
<td>55 (16%)</td>
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<td>PET</td>
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<td>SPECT</td>
<td>285 (81%)</td>
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¹Statistics presented: n (%); median (IQR); MEG – magnetoencephalography; PET – positron emission tomography; SPECT – single-photon emission computerized tomography
Figure 1: Forest plot for Odds Ratio (zero-inflated component) of potentially curative treatment models

Several independent variables were excluded by the model selection process using the AIC criteria and some were manually removed due to imbalance between categories (see Supplementary Tables). Box indicates a statistically significant value (p ≤ 0.05).
Figure 2: Forest plot for Incidence Rate Ratio (count component) of potentially curative treatment models

Several independent variables were excluded by the model selection process using the AIC criteria and some were manually removed due to imbalance between categories (see eTables 1–4). Box indicates a statistically significant value ($p \leq 0.05$).
Figure 3: Forest plot for Odds Ratio (zero-inflated component) of palliative treatment models

Several independent variables were excluded by the model selection process using the AIC criteria and some were manually removed due to imbalance between categories (see eTables 1–4). Box indicates a statistically significant value (p ≤ 0.05).
Several independent variables were excluded by the model selection process using the AIC criteria and some were manually removed due to imbalance between categories (see eTables 1–4). Box indicates a statistically significant value ($p \leq 0.05$).
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